

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL NO. EL717377872US

Applicant : Chad Matthew MacKenzie, et al.
Application No. : N/A
Filed : March 27, 2002
Title : METHOD, SYSTEM, SIGNALS AND MEDIA FOR
INDEXING, SEARCHING AND RETRIEVING DATA
BASED ON CONTEXT
Docket No. : 48072/DBP/S318

INFORMATION DISCLOSURE STATEMENT
37 CFR § 1.97(b)

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
March 27, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. Also enclosed is a copy of the corresponding International Search Report (ISR). This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,
CHRISTIE, PARKER & HALE, LLP

By D. Bruce Prout
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626/795-9900

DBP/aam
Enclosures: PTO/SB/08A/B, w/references, and ISR

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	48072/DBP/S318
	Application Number	N/A
	Filing Date	March 27, 2002
	Applicant(s)	Chad Matthew MacKenzie, et al.
	Group Art Unit	N/A
	Examiner Name	N/A

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Advances in Digital Libraries, April 1998, pages 88-97, XP002925486
		A. SAHUGUET, ET AL., "Looking at the Web through XML glasses" Proceedings, 1999 IFCIS International Conference on Cooperative Information Systems, September 2-4 1999, Edinburgh, United Kingdom, IEEE Comput. Soc., Los Alamitos, CA, US, XP010351848
		S.A. DOBSON, ET AL., "Lightweight databases" Computer Networks and ISDN Systems, April 1, 1995, pages 1009-1015, vol. 27, no. 6, North Holland Publishing
		V. D. MEA, ET AL., "HTML generation and semantic markup for telepathology" Computer Networks and ISDN Systems, May 1, 1996, pages 1085-1094, vol. 28, no. 11, North Holland Publishing
		S. LUKE, ET AL. "Ontology-based Web Agents" February 5-8 1997, pages 59-66, Proceedings of the First International Conference on Autonomous Agents, Marina del Rey, CA, U.S.A.
		International Search Report of corresponding PCT/CA00/01042, dated March 1, 2002

EXAMINER SIGNATURE		DATE CONSIDERED	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

DBP/aam

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FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	48072/DBP/S318
	Application Number	N/A 70/039290
	Filing Date	March 27, 2002
	Applicant(s)	Chad Matthew MacKenzie, et al.
	Group Art Unit	N/A
	Examiner Name	N/A

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	<u>DOCUMENT NUMBER</u> Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIALS	Cite No. ¹	<u>Foreign Patent Document</u> Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		A. DEUTSCH, ET AL., "A query language for XML" Computer Networks, May 17, 1999, pages 1155-1169, vol. 31, no. 11-16, Elsevier Science B.V., Amsterdam, The Netherlands, XP004304546
		H. KANEMOTO, ET AL., "An Efficiently Updated Index Scheme for Structured Documents" Ninth International Workshop on Database and Expert Systems Applications, August 26-28 1998, pages 991-996, Vienna, Austria, IEEE Comput. Soc., Los Alamitos, CA, US, XP010296697
		T. DAO, "An Indexing Model for Structured Documents to Support Queries on Content, Structure and Attributes" Proceedings of the Forum on Research and Technology

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